

FEDERAL TRANSIT ADMINISTRATION

Personnel Summary

Staff at Work

- Positions funded by multi-year appropriations, indefinite appropriations, or contract authority:

Hurricane Sandy Recovery	21
<u>Lower Manhattan Recovery Office</u>	<u>3</u>
Total	24

- Positions supporting lawful continuation of other functions (e.g., support for Life and Safety positions): 4

Total Positions at Work 28

Positions to be Furloughed: 501

Total Positions: 529

Summary of Continuing Operations

- In the absence of appropriations, limited functions funded from prior year appropriations would continue. These would include Hurricane Sandy activities funded from the 2013 Disaster Relief Appropriations Act (21 positions) and activities in the Lower Manhattan Recovery Office (3 positions) funded by emergency supplemental appropriations after 9/11.
- FTA would also have 4 excepted positions to perform life and safety activities authorized in MAP-21 and oversee the lawful continuation of other functions.

Summary of Suspended Activities

- FTA would not continue any of its unfunded core agency functions. No grants, cooperative agreements, contracts, purchase orders, travel authorizations, or other documents obligating funds would be executed.
- FTA customarily reimburses transit agencies for ongoing operations and construction projects to enable them to provide transit services and pay employees and contractors. October is typically a month where grantees request substantial reimbursements. In October of FY 2013 payments averaged about \$200 million per week.
- FTA would not obligate grant funds for projects carried out by approximately 1,300 grantees throughout the country as FTA staff that perform these functions are not considered excepted employees. FTA grants primarily fund operating expenses and capital projects.
- For projects under development, FTA staff would not carry out environmental, legal, civil rights, and other reviews essential for advancing projects to the point of obligation, since these are not related to immediate issues of life and safety.
- FTA would not make grant payments using FTA's Financial Management Systems or vendor payments to contractors using the DELPHI accounting systems regardless of the fiscal year cited since appropriations would not be available to pay the salaries of the staff certifying and executing the payments.
- Program oversight activities would continue but only with funds provided by prior year appropriations. Most oversight activities are performed by contractors.
- FTA would also not obligate or outlay funds for research and technical assistance activities because appropriations would not be available to pay the salaries of the staff executing the payments.

Assessment of Liquidating Cash (Trust Fund Agencies Only)

- FTA has sufficient liquidating cash. However, FTA would not outlay funds because appropriations would not be available to pay the salaries of staff executing payments.

Special Circumstances

- Certain contract support functions funded with prior year appropriations would continue unless contracting officer representatives (COR) are needed to supervise on-site contract staff. CORs are not considered excepted employees.

Shutdown Checklist (For each item still in progress, give an expected completion date.)

- Are shutdown plans up-to-date and approved by the modal administrator? Yes
- Has the OA's Office of Human Resources identified each employee subject to a furlough and made necessary preparations to notify these staff? Yes
- Are logistics in place to provide for an orderly recall of employees and a return to normal operations, once annual appropriations are restored? Yes